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JC962 U.S. PTO



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I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Guy Beardsley

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10/015824



12/10/01

UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53(b)

Attorney Docket Number	50195/002002
Applicants	Philippe Collas and James M. Robl
Title	Methods For Altering Cell Fate

PRIORITY INFORMATION:

This application claims the benefit of the filing date of United States provisional patent application 60/258,152, filed December 22, 2000.

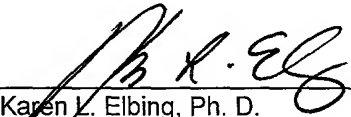
SMALL ENTITY STATUS:

☒ Applicant claims small entity status under 37 C.F.R. § 1.27.

APPLICATION ELEMENTS:

Cover sheet	1 page
Specification	70 pages
Claims	5 pages
Abstract	1 page
Black and White Figures	10 sheets
Color Drawings	9 sheets
Combined Declaration and POA, which is: <input checked="" type="checkbox"/> Unsigned; <input type="checkbox"/> Newly signed for this application; <input type="checkbox"/> A copy from prior application [**SERIAL NUMBER**] and the entire disclosure of the prior application is considered as being part of the disclosure of this new application and is hereby incorporated by reference therein.	2 pages
Sequence Statement	0 pages
Sequence Listing on Paper	0 pages
Sequence Listing on Diskette	0 disk
Small Entity Statement, which is: <input type="checkbox"/> A copy from prior application [**SERIAL NUMBER**] and such small entity status is still proper and desired.	0 pages

The PTO did not receive the following listed item(s) \$130 for Petition

Preliminary Amendment	0 pages
IDS	0 pages
Form PTO 1449	0 pages
Cited References	0 references
Recordation Form Cover Sheet and Assignment	0 pages
English Translation	0 pages
Certified Copy of Priority Document	0 pages
Petition To Accept Color Drawings	2 pages
Return Receipt Postcard	1
FILING FEES:	
Basic Filing Fee: \$370	\$370.00
Excess Claims Fee: $52 - 20 = 32 \times \$9$	\$288.00
Excess Independent Claims Fee: $13 - 3 = 10 \times \$42$	\$420.00
Multiple Dependent Claims Fee: \$140	\$140.00
Total Fees:	\$1,218.00
<input checked="" type="checkbox"/> Enclosed is a check for \$1,218.00 to cover the total fees. <input type="checkbox"/> Charge [**AMOUNT**] to Deposit Account No. 03-2095 to cover the total fees. <input type="checkbox"/> The filing fee is not being paid at this time. <input checked="" type="checkbox"/> Please apply any other charges, or any credits, to Deposit Account No. 03-2095.	
CORRESPONDENCE ADDRESS:	
Karen L. Elbing, Ph. D. Reg. No. 35,238 Clark & Elbing LLP 176 Federal Street Boston, MA 02110	
Telephone: 617-428-0200 Facsimile: 617-428-7045	
CUSTOMER NO: 21559	
 Karen L. Elbing, Ph. D.	10 December 2001 Date

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21559
PATENT, TRADEMARK OFFICE

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<u>Guy Beardsley</u> Printed name of person mailing correspondence	<u>Guy Beardsley</u> Signature of person mailing correspondence

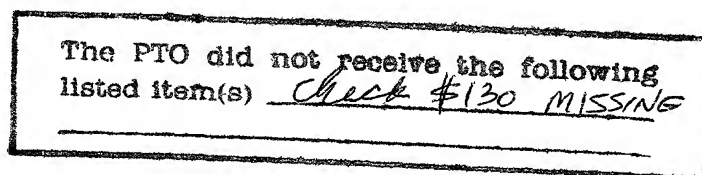
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Philippe Collas et al. Art Unit: Not Yet Assigned
Serial No.: Not Yet Assigned Examiner: Not Yet Assigned
Filed: December 10, 2001 Customer No.: 21559
Title: Methods For Altering Cell Fate
Assistant Commissioner For Patents
Washington, DC 20231

PETITION TO ACCEPT COLOR DRAWINGS

Applicants hereby petition under 37 C.F.R. § 1.84(a)(2), that the enclosed color drawings are necessary as the only practical medium to disclose the subject matter of the present application. The subject matter of the invention relates to altering the expression profile and phenotype of cells. The color drawings in Figures 13, 14A, 14B, 14C, 15, and 17A are necessary to accurately and clearly depict examples of the subject matter sought to be patented.

Enclosed is a check for \$130.00 to cover the fee required under 37 C.F.R. § 1.17(h) and three sets of the color drawings. Applicants have also included black and white versions of these drawings as part of the application.



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As required under 37 C.F.R. § 1.84(a)(2)(iv), the first paragraph of the Brief

Description of the Drawings states that


The application file contains drawings executed in color (Figs. 13, 14A, 14B, 14C, 15, and 17A). Copies of this patent or patent application with color drawings will be provided by the Office upon request and payment of the necessary fee.

If there are any charges, or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date: 10 December 2001



Karen L. Elbing, Ph.D.
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